

FORM NO. MGT-7A

[Pursuant to sub-section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



Abridged Annual Return for OPCs and Small Companies

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U40108MH2007PTC257393

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCL3505D

(ii) (a) Name of the company

AWT ENERGY PRIVATE LIMITED

(b) Registered office address

9th FLOOR, C WING GODREJ COLISEUM,
SION TROMBAY ROAD SION EAST
MUMBAI
Mumbai City
Maharashtra
400022

(c) *email-ID of the company

kmn@awtenergy.com

(d) *Telephone number with STD code

02240012698

(e) Website

www.awtenergy.com

(iii) Date of Incorporation

28/08/2007

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) Whether the form is filed for

OPC Small Company

(vii) *Financial year From (DD/MM/YYYY) To (DD/MM/YYYY)

(viii) *Whether Annual General Meeting (AGM) held (not applicable in case of OPC)

Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	N	Support service to Organizations	N7	Other support services to organizations	100

III. PARTICULARS OF ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES) (not applicable for OPC)

*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Associate/ Joint venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid Up capital
Total number of equity shares	200,000	127,800	127,800	127,800
Total amount of equity shares (in rupees)	2,000,000	1,278,000	1,278,000	1,278,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid Up capital
Number of equity shares	200,000	127,800	127,800	127,800

Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	2,000,000	1,278,000	1,278,000	1,278,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)	0	0		

(c) Unclassified share capital (not applicable for OPC)

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital (not applicable for OPC)

Class of shares	Number of shares	Total nominal Amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	127,800	1,278,000	1,278,000	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0

viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	0	0	0	
0				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
0				
At the end of the year	127,800	1,278,000	1,278,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(ii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) * (not applicable for OPC)

Nil

[Details being provided in a CD/Digital Media]

Yes No Not applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of Previous AGM		<input type="text"/>	
Date of Registration of Transfer		<input type="text"/>	
Type of Transfer	<input type="text"/>	1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ debenture/unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	Middle name	First name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of Registration of Transfer		<input type="text"/>	
Type of Transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ debenture/unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	Middle name	First name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iii) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of Debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(iv) Securities (other than shares and debentures) (not applicable for OPC)

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

2,859,638

(ii) Net worth of the Company

18,033,124

VI. (a) *SHARE HOLDING PATTERN - Promoters (not applicable for OPC)

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	43,452	34	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	43,452	34		0

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	84,348	66	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	84,348	66	0	0

Total number of shareholders (other than promoters)

Total number of shareholders (Promoters+Public/
Other than promoters)

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	1	1
Debenture holders	0	0

VIII. *MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/CLB/NCLT/COURT CONVENED MEETINGS (not applicable for OPC)

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	14/09/2020	2	2	100

B. BOARD MEETINGS (not applicable for OPC)

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	08/06/2020	4	2	50
2	08/07/2020	4	2	50
3	13/08/2020	4	2	50
4	03/11/2020	4	3	75
5	26/02/2021	4	2	50

C. *ATTENDANCE OF DIRECTORS (not applicable for OPC)

S. No.	DIN	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on 16/07/2021 (Y/N/NA)
			Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	00013424	PRADEEP GUPTA	5	5	100	0	0	0	Yes
2	01660111	NEETHIMANI KARUNA	5	2	40	0	0	0	Yes
3	01660063	RAJESWARI KARUNA	5	1	20	0	0	0	No
4	07749545	BHAVNA GUPTA	5	3	60	0	0	0	No
5									
6									
7									
8									
9									

10									
11									
12									
13									
14									
15									

IX. *REMUNERATION OF DIRECTORS

Nil

A. Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

B. Number of other directors whose remuneration details to be entered

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

X. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A. *Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give the reasons/observations

XI. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in rupees)

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this return, the Company has complied with applicable provisions of the Act during the financial year.
- (c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.
- (d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

Declaration

I am authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

Attachments

- 1. List of share holders, debenture holders;
- 2. Approval letter for extension of AGM;
- 3. List of Directors;
- 4. Optional Attachment(s), if any;

List of attachments

Attach	AWT LIST OF DIRECTORS AS ON MARCH 31, 2021
Attach	AWT LIST OF SHAREHOLDERS.pdf
Attach	AWT Shorter Notice Approval for AGM .pdf
Attach	

Remove Attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the registrar of companies through electronic mode and on the basis of statement of correctness given by the company

Auto-approved By

Attachments
1) List of shareholders - As at March 31, 2021
2) List of Directors - As at March 31, 2021
3) Shorter Notice approval for AGM

AWT ENERGY PRIVATE LIMITED
Registered Office: 9th Floor, C Wing, Godrej Coliseum, Sion–
TrombayRoad , Sion – E, Mumbai 400022
Contacts: 022-40012600/ E mail: dmkmoothy@gmail.com

CIN: U40108MH2007PTC257393

List of Shareholders

As On March 31, 2021

Sr. No.	Name of Shareholder	No. of Equity Shares of Rs. 10/- each.
1.	Pradeep Omprakash Gupta	84,348
2.	Neethimani Karuna Moorthy	43,452
	Total	1,27,800

For AWT Energy Private Limited

Pradeep
Omprakash
Gupta

Digitally signed by
Pradeep Omprakash
Gupta
Date: 2021.04.06
14:56:17 +05'30'

Pradeep Gupta
Director
DIN: 00013424

Place: Mumbai
Date: April 06, 2021

AWT ENERGY PRIVATE LIMITED
Registered Office: 9th Floor, C Wing, Godrej Coliseum, Sion–Trombay Road
Sion – E, Mumbai 400022
Contacts: 022-40012600/ E mail: dmkmoothy@gmail.com
CIN: U40108MH2007PTC257393

List of Directors

As On March 31, 2021

Sr. No.	Name of Director	DIN	Residential Address
1.	Mr. Pradeep Gupta	0013424	Flat No 64, Bldg. No 3 Kalpatry Estate, Majas Vilg. JV Limk Road Andheri (E), Mumbai 400093
2.	Dr. Neethimani Karunamoorthy	01660111	Flat No 1, Ground Floor, D Wing, Everard CHS Everard Nagar, Eastern Express Highway, Sion E, Mumbai 400022
3.	Mrs. Rajeswari K. Moorthy	1660063	C/O Karunamoorthy, Villa 9,Sathyanand Enclave Ashok Street Kalapatti, Kalapatti, Coimbatore North Tamil Nadu 641048
4.	Mrs. Bhavana Gupta	07749545	2B-207, Brindaban Apartment, JVLR Road, St. Xavier School, Poonam Nagar, Andheri East Mumbai 400093

Place: Mumbai
Date: April 06, 2021

For AWT Energy Private Limited

Pradeep
Omprakash
Gupta
Pradeep Gupta
Director
DIN: 00013424

Digitally signed by
Pradeep Omprakash
Gupta
Date: 2021.04.06
14:53:14 +05'30'

THE COMPANIES ACT, 2013

Consent of Shareholders for Shorter notice

(Pursuant to Section 101 (1))

To
Board of Directors
AWT Energy Private Limited
9th Floor, C Wing, Godrej Coliseum,
Sion-Tromaby Road, Sion -E, Mumbai 400022

I, Pradeep Gupta residing at Flat No. 64, Bldg No. 3A, Kalpataru Estate, Majas Vlg, J.V. Link Road, Andheri-East, Mumbai 400093 holding 84348 Equity Shares of Rs 10/ each in the total share capital of 127,800 Equity Shares of the Company, being 66% of the total Share capital of the Company do hereby give my consent pursuant to Section 101 (1) of the Companies Act, 2013 to hold the Annual General Meeting on Friday, July 16, 2021 at a shorter notice.



Pradeep Gupta

Place: Mumbai
Date : July 15, 2021

THE COMPANIES ACT, 2013

Consent of Shareholders for Shorter notice

(Pursuant to Section 101 (1))

To
Board of Directors
AWT Energy Private Limited
9th Floor, C Wing, Godrej Coliseum,
Sion-Tromaby Road, Sion -E, Mumbai 400022

I, Neethimani Karunamoorthy residing at Villa 9, Sathyanand Enclave, Ashok Nagar, Kalapatti Kalapatti, Coimbatore Tamil Nadu 641048 holding 43,452 Equity Shares of Rs 10/ each in the total share capital of 1,27,800 Equity Shares of the Company, being 34% of the total share capital of the Company do hereby give my consent pursuant to Section 101 (1) of the Companies Act, 2013 to hold the Annual General Meeting on Friday, July 16 2021 at a shorter notice.



Neethimani Karunamoorthy

Place: Mumbai
Date: July 15, 2021